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# TRANSMITTAL FORM

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		<b>Application Number</b>	09/883,093
		<b>Filing Date</b>	June 14, 2001
		<b>First Named Inventor</b>	GUENTHER
		Group Art Unit	1632
		Examiner Name	Michael C. Wilson
Total Number of Pages in This Submission	8	Attorney Docket Number	R-126

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) New Fig. 2A	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / <input checked="" type="checkbox"/> Preliminary	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Mariette A. Lapiz, Reg. No. 44,202
Signature	
Date	December 4, 2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Catherine GUENTHER et al.

Application No.: 09/883,093

Filed: June 14, 2001

For: Transgenic Mice Containing Nuclear  
Hormone Receptor Gene Disruptions

Examiner: Michael C. Wilson

Art Unit: 1632

Atty. Docket No.: R-126

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PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to the examination of the above-referenced application and in response to the U.S. Patent Office Notice mailed November 14, 2002, entry of the following amendment is respectfully requested:

In the Specification

Please replace the paragraph on page 8, lines 12-15, with the following paragraph:

--Figure 2A-2B shows design of the targeting construct used to disrupt nuclear hormone receptor genes. Figure 2A, which illustrates the target sequence (SEQ ID NO:1) for the targeting construct, shows the location and extent of the disrupted portion of the nuclear hormone receptor gene, as well as the nucleotide sequences flanking the Neo<sup>r</sup> insert in the targeting construct. Figure 2B shows the sequences identified as SEQ ID NO:3 and SEQ ID NO:4, which were used as the targeting arms (homologous sequences) in the nuclear hormone receptor targeting construct.--

In the Drawings

Please replace Figure 2A in the application with the enclosed new Figure 2A. A marked-up copy of Figure 2A is also enclosed, with corrections indicated in red.

N/E